

DEC 04 2020

Rohit Khanna

# UNITED STATES HOUSE OF REPRESENTATIVES 2019 FINANCIAL DISCLOSURE STATEMENT

Form A  
For Use by Members, Officers, and Employees

LEGISLATIVE RESOURCE CENTER

2020 DEC 16 AM 11:52  
(Office Use Only)

U.S. HOUSE OF REPRESENTATIVES

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

Name:

Rohit Khanna

Daytime Telephone:

202-225-2631

FILER STATUS



Member of the U.S. House of Representatives

State:

CA

District:

17

Officer or Employee

Employing Office:

Staff Filer Type: (If Applicable)

Shared

Principal Assistant

REPORT TYPE



2019 Annual (Due: May 15, 2020)



Amendment



Termination

Date of Termination:

## PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child:

a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? **OR**  
b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?

Yes ☒ No ☐

F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?

Yes ☐ No ☒

B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?

Yes ☒ No ☐

G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$380 in value from a single source during the reporting period?

Yes ☐ No ☒

C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?

Yes ☐ No ☒

H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$380 in value from a single source during the reporting period?

Yes ☒ No ☐

D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?

Yes ☒ No ☐

I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?

Yes ☐ No ☒

E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?

Yes ☒ No ☐

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

## IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "Yes" to this question, please contact the Committee on Ethics for further guidance.

Yes ☐ No ☒

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

Yes ☐ No ☒

EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "Yes" unless you have first consulted with the Committee on Ethics.

Yes ☐ No ☒

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Rohit Khanna**

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BLOCK A			BLOCK B													BLOCK C							BLOCK D													BLOCK E	
Assets and/or Income Sources			Value of Asset													Type of Income							Amount of Income													Transaction	
<p>Identify (a) each asset held for investment or production of income and (b) the market value of each asset at the end of the reporting period, and (c) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use only ticker symbols).</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For bank and other cash accounts, total the amount for all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.</p> <p>For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of the activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.</p>			<p>Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."</p> <p>*Column M is for assets held by your spouse or dependent child in which you have no interest.</p>													<p>Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no asset generated no income during the reporting period.</p>							<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated in which you have no interest.</p>													<p>Indicate if the asset had purchases (P), sales (S), or exchanges (E), or was otherwise disposed of during the reporting period.</p> <p>If only a portion of an asset was sold, please indicate as follows: (S) (partial sale); (E) (exchange); (P) (purchase); (S) (sale); (E) (exchange); (P) (purchase).</p> <p>Leave the column blank if there are no transactions that exceeded \$1,000.</p>	
SP, DC, JT	EIF	None	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify, e.g., Partnership Income or Farm Income)	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	None	P, S, E (partly, or E)
		None																																			
		\$1-\$1,000																																			
		\$1,001-\$15,000																																			
		\$15,001-\$50,000																																			
		\$50,001-\$100,000																																			
		\$100,001-\$250,000																																			
		\$250,001-\$500,000																																			
		\$500,001-\$1,000,000																																			
		\$1,000,001-\$5,000,000																																			
		\$5,000,001-\$25,000,000																																			
		\$25,000,001-\$50,000,000																																			
		Over \$50,000,000																																			
		Spouse/DC Asset over \$1,000,000*																																			
		NONE																																			
		DIVIDENDS																																			
		RENT																																			
		INTEREST																																			
		CAPITAL GAINS																																			
		EXCEPTED/BLIND TRUST																																			
		TAX-DEFERRED																																			
		Other Type of Income (Specify, e.g., Partnership Income or Farm Income)																																			
		None																																			
		\$1-\$200																																			
		\$201-\$1,000																																			
		\$1,001-\$2,500																																			
		\$2,501-\$5,000																																			
		\$5,001-\$15,000																																			
		\$15,001-\$50,000																																			
		\$50,001-\$100,000																																			
		\$100,001-\$1,000,000																																			
		\$1,000,001-\$5,000,000																																			
		Over \$5,000,000																																			
		Spouse/DC Asset with income over \$1,000,000*																																			

# SCHEDULE A -- ASSETS & "UNEARNED INCOME"

Name: **Rohit Khanna**

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or B
	None																																		
	\$1-\$1,000																																		
	\$1,001-\$15,000																																		
	\$15,001-\$50,000																																		
	\$50,001-\$100,000																																		
	\$100,001-\$250,000																																		
	\$250,001-\$500,000																																		
	\$500,001-\$1,000,000																																		
	\$1,000,001-\$5,000,000																																		
	\$5,000,001-\$25,000,000																																		
	\$25,000,001-\$50,000,000																																		
	Over \$50,000,000																																		
	Spouse/DC Asset over \$1,000,000*																																		
	None																																		
	\$1-\$200																																		
	\$201-\$1,000																																		
	\$1,001-\$2,500																																		
	\$2,501-\$5,000																																		
	\$5,001-\$15,000																																		
	\$15,001-\$50,000																																		
	\$50,001-\$100,000																																		
	\$100,001-\$1,000,000																																		
	\$1,000,001-\$5,000,000																																		
	\$5,000,001-\$25,000,000																																		
	Over \$25,000,000																																		
	Spouse/DC Asset with income over \$1,000,000*																																		

Use additional sheets if more space is required.

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Rohit Khanna

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction				
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		
	Grandchildren's Trust																																					
DC	Resilience Oneasy, LLC	X						X														Partnership				X												
	Rohit Ahuja 1885 Trust																																					
	Grandchildren's Trust																																					
	ARECO LP																																					
SP	MAI Wealth Income and Growth Fund IV, LP	X																				Partnership						X									P	
DC	MAI Wealth Income and Growth Fund IV, LP	X							X													Partnership				X											P	
	MAIR Trust Partnership																																					
SP	Peterhill II LP	X								X												Partnership								X							P	
SP	Private Equity Managers (Concentrated) LP	X																																				
SP	Vintage IV LP	X							X													Partnership							X									
SP	KKR Principal Opportunities Access LP	X																				Partnership									X						P	

**FILER NOTES  
(Optional)**

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NOTE NUMBER	NOTES
1	This amendment is being filed to update the amount of income reported for certain partnerships, pursuant to the Instruction Guide, Financial Disclosure Statements and Periodic Transaction Reports, which states:
	"If you do not receive your K-1 form prior to your filing deadline, it is acceptable to provide a good faith estimate of the income based, for example, on the income received in the prior year. Once you receive your K-1 form, you must amend your Statement if the category of value of your good faith estimate is different than the actual income received."

Use additional sheets if more space is required.